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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/621,857	07/24/2000	George Clark	4547-8

Douglas W. Massinger
Massinger Law Office
113 North Olive Street
Media, PA 19063

FORMALITIES LETTER



OC00000005440775

Date Mailed: 10/02/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/07/2000 YPOLITE1 00000040 09621857

01 FC:201
02 FC:205

345.00 OP
65.00 OP

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✓
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION OF : STEVEN D. CLARK, PAUL MORRISON, AND
AND PEDRO VELIS

TITLE : AMINE-FREE EMULSIFICATION OF ALKALINE
EARTH METAL SULFONATES

SERIAL NO. : 09/621,857

FILING DATE : July 24, 2000

ATTORNEY DOCKET NO. : 4547-8



TO: Commissioner for Patents
Box MISSING PARTS
Washington, DC 20231

ATTN: Initial Patent Examination Division

**REQUEST FOR CHANGE TO FILING RECEIPT AND
RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION FILED UNDER 37 C.F.R §1.53(b)**

Dear Sir or Madam:

Enclosed herewith please find the following:

1. Copy of Filing Receipt with Correction of First Named Applicant;
2. Notice To File Missing Parts of Non-Provisional Application Filed Under 37 C.F.R. §1.53(b);
3. The missing Declaration and Power of Attorney;
4. Verified Statement (Declaration) Claiming Small Entity Status - Joint Inventors (filed, although no longer required under the new rules);
5. Our check Number 3131 in the amount of **\$410.00** to cover the requisite basic filing fee for a small entity (\$345.00) and the surcharge fee (\$65.00); and

6. A return post card.



Please record the change of First Named Applicant noted on the Filing Receipt enclosed herewith and record all other documents of record.

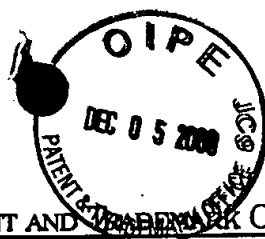
Date: November 29, 2000

Douglas Wm. Massinger, Esq.
Reg. No. 35,942
MASSINGER LAW OFFICE
113 N. Olive Street
Media, PA 19063
(610) 627-1661

CERTIFICATE OF MAILING

I hereby certify that the following correspondence is being deposited with the United States Postal Service as First Class Mail to the Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231, ATTN: Initial Patent Examination Division on **November 29, 2000**.

Debbie Coccia



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/621,857	07/24/2000	1762	0	4547-8		2	1

Douglas W. Massinger
Massinger Law Office
113 North Olive Street
Media, PA 19063

FILING RECEIPT



OC000000005440774

Date Mailed: 10/02/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~George~~ Clark, Residence Not Provided; Steven D. Clark
Paul Morrison, Residence Not Provided;
Pedro Velis, Residence Not Provided;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/29/2000

Title

Amine-free emulsification of alkaline earth metal sulfonates

Preliminary Class

427

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Data entry by : LANCASTER, DAVID

Team : OIPE

Date: 10/02/2000





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231